IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cai, et al.	
Serial No.: unknown	**Group Art Unit: unknown**
Filed: January 27, 2004	**Examiner: unknown**
Title: Eliminating Superfluous Namespace Declarations and Undeclaring Default Namespaces in XML Serialization Processing	

INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION
(37 CFR 1.97(b))

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).
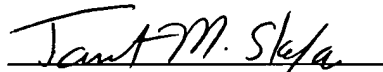
EXPRESS MAIL CERTIFICATE
I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450. Date of Deposit: _January 27, 2004_ Person mailing paper/fee: _Jeanette Souza_ Signature: _____ .

Respectfully Submitted,

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Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. SVL920030138US1		Serial No. unknown
		Applicant (s): **Cai, et al.**		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Filing Date: January 27, 2004		Group Art Unit: unknown

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	US 6,381,627 B1	April 30, 2003	Kwan et al.	709	201	Sept. 21, 1998
	AB	US 2002/0120652 A1	Aug. 29, 2002	Rising, III et al.	707	513	Oct. 22, 2001
	AC	US 2003/0101434 A1	May 29, 2003	Szperski	717	120	Nov. 29, 2001

FOREIGN PATENT DOCUMENTS

		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA	WO 03/060758 A2	July 24, 2003	PCT	G06F 17	30	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	Lin, Chen, Wang, Zhang, Cai, Cu and Lee, U.S. Patent Application No. 10/325,781, filed Dec. 19, 2002, "Method, System and Program for Optimizing Processing of Nested Functions", assignee International Business Machines Corporation, IBM Docket No. SVL920020085US1
	CB	Truyen et al, "Dynamic and Selective Combination of Extensions in Component-Based Application," International Conference on Software Engineering, Proceedings of the 23rd International Conference on Software Engineering, Toronto, Ontario, Canada, 2001, pp. 233-242, published by the IEEE Computer Society
	CC	David E. Siegel, "A Proposal for a Namespace Facility in APL+WIN," APL Quad Quote, Volume 31, Number 4, pp. 25-32, June 2001, ACM SigAPL
	CD	Bergmann et al, "Vex – A CAD toolbox," Annual ACM/IEEE Design Automation Conference, Proceedings of the 36th ACM/IEEE Conference on Design Automation, New Orleans, Louisiana, USA, pp. 523-528, June 1999, Published ACM Press
	CE	Charles M. Eastman, "System Facilities for CAD Databases," Annual ACM IEEE Design Automation Conference, Proceedings of the 17th Design Automation Conference on Design Automation, Minneapolis, Minnesota, United States, pp. 50-56 , 1980, published by ACM Press
	CF	Baker et al., "What Terms Does Your Metadata Use? Application Profiles as Machine-Understandable Narratives," Journal of Digital Information, Vol. 2, No. 2, Article No. 65, 2001-11-06, 2001
	CG	"Information technology – Database languages – SQL – Part 14: XML-Related Specifications (SQL/XML)", ISO/IEC JTC 1/SC 32, Date: 2003-07-14, ISO/IEC 9075-14:2003(E), Working Draft

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).

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FOREIGN PATENT DOCUMENTS

		Document	Date	Country	Class	Sub-class	Translation Yes/No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CH	"Extensible Markup Language (XML) 1.0 (Second Edition)," W3C Recommendation 6 October 2000, from http://www.w3.org/TR/REC-xml
	CI	"Namespaces in XML," World Wide Web Consortium 14-January-1999, REC-xml-names-19990114, from http://www.w3.org/TR/REC-xml-names/
	CJ	"Canonical XML Version 1.0," W3C Recommendation 15 March 2001, from http://www.w3.org/TR/xml-c14n
	CK	"Document Object Model (DOM) Level 1 Specification Version 1.0," W3C Recommendation 1 October, 1998, REC-DOM-Level-1-19981001, Downloaded from http://www.w3.org/TR/1998/REC-DOM-Level-1-19981001/DOM.pdf
	CL	Norman Walsh, "What is XML?", October 3, 1998, From http://www.xml.com/pub/a/98/10/guide1.html
	CM	Norman Walsh, "What Do XML Documents Look Like?", October 3, 1998, From http://www.xml.com/pub/a/98/10/guide2.html
	CN	Norman Walsh, "Validity," October 3, 1998, From http://www.xml.com/pub/a/98/10/guide3.html
	CO	Norman Walsh, "Pulling the Pieces Together," October 3, 1998, From http://www.xml.com/pub/a/98/10/guide4.html

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